

# ACL Certified Training



## AX 105 Introduction to AuditExchange

CPE CREDITS: 24



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### Focus and Features

This three-day hands-on course is designed to introduce your entire audit or business assurance team to AuditExchange (AX). Using examples that follow the traditional assurance cycle from initial planning through reporting and follow-up, your team will learn how to interact with AuditExchange to increase team efficiency and effectiveness using the server-based platform. Hands-on examples used in the class will illustrate how ACL technology can provide immediate value to your audits and other business reviews.

This course will also introduce you to ACL Desktop/Network techniques in an interactive learning environment. Participants will learn how to create ACL tables to access data, conduct effective data validation and reconciliation procedures, and import prepared tables into AX for ad-hoc server analysis or continuous auditing and monitoring use. Participants will also learn how to use ACL for typical assessment procedures, and transfer the results of tests performed offline into AX for team collaboration and further server analysis.

If your organization has licensed the AX Gateway web interface, you will gain basic skills for accessing audit content, running analytics, and sharing your findings with your team in AX Gateway. You will learn to use add-ins for Microsoft Office to leverage existing productivity tools to directly open, edit, save and link content within the AuditExchange repository.

Delivered onsite by an ACL Certified trainer, this course provides the opportunity for your team to determine how technology-enabled auditing will work best in your environment. You will learn key data analysis concepts and the basics of working with ACL software. You will also learn how to identify where analytics can be applied to steps within a traditional audit program access and interact with data to highlight potential issues, save results for further analysis and review, and document and manage findings and supporting content.

Companies looking to develop their own analytics should also consider *AX 302: Developing Analytics for AuditExchange* to learn how to effectively create interactive or scheduled server-based analytics.

### Who Should Participate

Anyone who will be working with AX or managing teams that work with the AX platform, regardless of prior ACL experience.

### Learning Objectives

By the end of this course, you will be able to:

- Map AuditExchange capabilities to your team's audit or assurance processes
- Set up engagements and engagement templates, and assign appropriate access rights for your team
- Explain the fundamentals of data access and describe effective data validation procedures
- Import tables into AuditExchange for secure storage, ad-hoc server-based analysis, or continuous auditing and monitoring
- Understand the capabilities and differences between AX Core Client and ACL Desktop/Network
- Use ACL Desktop/Network to perform ad-hoc analysis techniques, including: Filter, Classify, Summarize, Extract
- Create simple computed and conditional computed fields for performing complex calculations
- Use ACL Desktop/Network to create and combine tables
- Share findings and results with other team members by importing Tables, ACL Command Logs and supporting audit evidence of any type into AuditExchange
- Run and schedule pre-configured analytics for continuous auditing and monitoring

AX Gateway Optional:

- Use Gateway to access AX Core repository items
- Work with repository items in MS Word, Excel, PowerPoint, Outlook, Project and Visio
- Open ACL Tables in MS Excel
- Run pre-configured analytics with Gateway and follow-up on results

### Prerequisites

No previous ACL experience is required.

### Program Level

Introductory



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## To Take This Course

There are two ways to take this course:

### Open Enrollment

Open enrollment classes are held at business centers around the world. To register or to view scheduled dates and locations, visit [www.acl.com/services/training\\_schedule.aspx](http://www.acl.com/services/training_schedule.aspx).

### On-Site

Bring the course to your organization. This option works best when there are five or more people who require training. For more information, please contact us.

## Contact Us

### In North America

[training@acl.com](mailto:training@acl.com)

604 669 4225

### In Europe

[training\\_europe@acl.com](mailto:training_europe@acl.com)

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# ACL Certified Training



## ACL Open Enrollment Training Terms

Version: Oct 17-08

These ACL Open Enrollment Training Terms (the "Training Terms") apply to the provision of training courses by ACL Services Ltd. and ACL Europe Ltd. ("ACL"). By registering for an ACL training course, you agree to be bound by these Training Terms.

### 1. Registration and Confirmation

- 1.1 You may register for a Training Course by completing and submitting the registration form to ACL. ACL will confirm your registration approximately twenty-one (21) business days prior to the scheduled start date for the Training Course by e-mail sent to the address you provide in the registration form. Registration is dependent upon the availability of space and is not guaranteed until confirmed by ACL in writing. As a result, you are advised not to make travel plans until you receive confirmation of your registration from ACL.
- 1.2 ACL may amend or cancel a Training Course prior to confirming your registration. ACL will provide you with notice of any such amendment or cancellation by e-mail sent to the address you provide in the registration form. You will not be billed for Training Courses cancelled by ACL.
- 1.3 Registration forms may be submitted to ACL up to two (2) business days prior to the scheduled start date for the Training Course. Registration is dependent upon the availability of space in the requested Training Course.

### 2. Transfer

- 2.1 You may, without additional charge, transfer your registration to another person up to two (2) business days before the scheduled start date of the Training Course by giving ACL written notice of such transfer.

### 3. Rescheduling

- 3.1 You may change your registration to attend another Training Course without additional cost or penalty, provided you give ACL written notice of such change at least twenty-one (21) days prior to the scheduled start date for the Training Course. If you do not provide such notice within the time required, you must pay the fees for the original Training Course whether or not you attend such course. Rescheduling is dependent upon the availability of space in the new Training Course.

### 4. Cancellation

- 4.1 You may cancel your registration in a Training Course and receive a refund of fees paid, provided you give ACL written notice of such cancellation at least twenty-one (21) days prior to the scheduled start date for the Training Course. If you do not provide such notice within the time required, you must pay the fees for the Training Course whether or not you attend such course.

### 5. ACL Training Materials

- 5.1 ACL will provide you with ACL's standard training software and training manuals for the Training Course. The training software is provided for use during the Training Course and must be deleted upon completion of the Training Course. The training manuals are provided as reference materials and may be kept and used for your own personal use. You may not copy the training manuals without the prior written consent of ACL. All title, ownership rights and intellectual property rights in the training software and the training manuals belong to ACL and are protected by copyright laws of Canada, the United States, and international copyright treaties.

### 6. Record Retention

- 6.1 ACL retains Training Course records for a period of seven (7) years in order to meet audit requirements of the State Boards of Accountancy (U.S.), for internal accounting records and for complaint resolution processes. These

records include, but are not limited to, course outlines, dates and locations of courses presented, names of instructors, number of CPE contact hours, the participants' registration records and Training Course evaluation forms.

### 7. Complaint Resolution

- 7.1 If you have a complaint about a Training Course that you have attended, please notify ACL in writing with your concerns. ACL will investigate your complaint, which may involve interviewing other participants and the instructor.

### 8. Limitation of Liability

- 8.1 ACL AND ITS AFFILIATES (INCLUDING THEIR RESPECTIVE EMPLOYEES, OFFICERS, DIRECTORS, AGENTS AND CONSULTANTS) WILL NOT BE LIABLE TO YOU UNDER ANY CIRCUMSTANCES OR LEGAL THEORY (CONTRACT, TORT OR OTHERWISE) FOR: (A) ANY INDIRECT, SPECIAL, INCIDENTAL, CONSEQUENTIAL OR PUNITIVE DAMAGES ARISING OUT OF OR IN CONNECTION WITH THE TRAINING COURSE PROVIDED TO YOU BY ACL, EVEN IF ACL HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES; OR (B) ANY DAMAGES OF ANY KIND ARISING OUT OF OR IN CONNECTION WITH THE TRAINING COURSE WITH AN AGGREGATE VALUE GREATER THAN THE FEES PAID BY YOU FOR THE TRAINING COURSE WHICH GAVE RISE TO THE CLAIM.
- 8.2 THIS LIMITATION OF LIABILITY WILL NOT APPLY TO LIABILITY FOR DEATH OR PERSONAL INJURY TO THE EXTENT APPLICABLE LAW PROHIBITS SUCH LIMITATION.

### 9. Notices

- 9.1 All notices to ACL must be sent by e-mail or fax as noted below:

#### In North America:

ACL Services Ltd.

E-mail: [training@acl.com](mailto:training@acl.com)

Fax: (604) 669-3562

Attention: "ACL Training"

#### In Europe, Middle East and Africa:

ACL Europe Ltd.

E-mail: [training\\_europe@acl.com](mailto:training_europe@acl.com)

Fax: +44 (0) 118 903 6269

Attention: "ACL Training"

### 10. General

- 10.1 These Training Terms, the registration form and your invoice for the Training Course form the complete and exclusive statement of the agreement between you and ACL for the Training Course.
- 10.2 If the Training Course is held in North America, these Training Terms are governed by the laws of the Province of British Columbia, without regard to conflicts of laws principles, and the parties agree to submit to the jurisdiction of the courts of British Columbia. If the Training Course is held outside North America, these Training Terms are governed by the laws of England, without regard to conflicts of laws principles, and the parties agree to submit to the jurisdiction of the courts of England.
- 10.3 In the event of any conflict between the English language version and any other language version of these Training Terms, the English language version will prevail. Other language versions of these Training Terms are available upon request or on ACL's web site at: [http://www.acl.com/training\\_oe\\_terms](http://www.acl.com/training_oe_terms)



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